#### PRIMITIVE RECURSIVE DEPENDENT TYPE THEORY LICS 2024

**Johannes Schipp von Branitz**<sup>1</sup> Ulrik Buchholtz<sup>2</sup>

University of Nottingham

July 09, 2024

<sup>1</sup>https://jsvb.xyz
<sup>2</sup>https://ulrikbuchholtz.dk

### PRIMITIVE RECURSION REMINDER

The *basic primitive recursive functions* are constant functions, the successor function and projections of type  $\mathbb{N}^n \to \mathbb{N}$ . A *primitive recursive function* is obtained by finite applications of composition of the basic p.r. functions and the *primitive recursion operator* 

primrec : 
$$\mathbb{N} \to (\mathbb{N} \times \mathbb{N} \to \mathbb{N}) \to \mathbb{N} \to \mathbb{N}$$
  
primrec $(g, h, 0) = g$   
primrec $(g, h, k + 1) = h(k, \text{primrec}(g, h, k)).$ 

The *Ackermann function*  $A : \mathbb{N} \to (\mathbb{N} \to \mathbb{N})$  given by

$$\begin{split} A(0) &= (n \mapsto n+1) \\ A(m+1) &= \begin{cases} 0 &\mapsto A(m,1) \\ n+1 &\mapsto A(m,A(m+1,n)) \end{cases} \end{split}$$

grows faster than any p.r. function. It requires elimination into a function type.

### PRIMITIVE RECURSION

The *basic primitive recursive functions* are constant functions, the successor function and projections of type  $\mathbb{N}^n \to \mathbb{N}$ . A *primitive recursive function* is obtained by finite applications of composition of the basic p.r. functions and the *primitive recursion operator* 

primrec : 
$$\mathbb{N} \to (\mathbb{N} \times \mathbb{N} \to \mathbb{N}) \to \mathbb{N} \to \mathbb{N}$$
  
primrec $(g, h, 0) = g$   
primrec $(g, h, k + 1) = h(k, \operatorname{primrec}(g, h, k)).$ 

The *Ackermann function*  $A : \mathbb{N} \to (\mathbb{N} \to \mathbb{N})$  given by

$$\begin{split} A(0) &= (n \mapsto n+1) \\ A(m+1) &= \begin{cases} 0 &\mapsto A(m,1) \\ n+1 &\mapsto A(m,A(m+1,n)) \end{cases} \end{split}$$

grows faster than any p.r. function. It requires elimination into a function type.

### PRIMITIVE RECURSION

The *basic primitive recursive functions* are constant functions, the successor function and projections of type  $\mathbb{N}^n \to \mathbb{N}$ . A *primitive recursive function* is obtained by finite applications of composition of the basic p.r. functions and the *primitive recursion operator* 

$$\begin{array}{l} \operatorname{primrec}: \mathbb{N} \to (\mathbb{N} \times \mathbb{N} \to \mathbb{N}) \to \mathbb{N} \to \mathbb{N} \\ & \operatorname{primrec}(g, h, 0) = g \\ & \operatorname{primrec}(g, h, k + 1) = h(k, \operatorname{primrec}(g, h, k)). \end{array}$$

The Ackermann function  $A : \mathbb{N} \to (\mathbb{N} \to \mathbb{N})$  given by

$$\begin{split} A(0) &= (n \mapsto n+1) \\ A(m+1) &= \begin{cases} 0 &\mapsto A(m,1) \\ n+1 &\mapsto A(m,A(m+1,n)) \end{cases} \end{split}$$

grows faster than any p.r. function. It requires elimination into a function type.

#### PRIMITIVE RECURSIVE DEPENDENT TYPE THEORY Main Theorem

#### Let T be a dependent type theory with

- dependent pair types  $\sum_{a:A} B(a)$  and function types  $\prod_{a:A} B(a)$ ,
- ▶ inductive identity types,
- ▶ a universe  $U_0$  closed under  $\Sigma$  and identity types (but not  $\Pi$ -types),
- ▶ a U<sub>0</sub>-small closed type N with the standard elimination principle for natural numbers

$$\frac{n: \mathbb{N} \vdash X(n): \mathbb{U}_0 \qquad \vdash g: X(0) \qquad n: \mathbb{N}, x: X(n) \vdash h(n, x): X(n+1)}{n: \mathbb{N} \vdash \operatorname{ind}_{g,h}(n): X(n)}$$

restricted to U<sub>0</sub>-small type families  $n : N \vdash X(n) : U_0$ ,

- larger universes  $U_{\alpha}$  closed under all type constructors,
- and the rule

 $\Pi_{n:\mathbb{N}}X(n):\mathbb{U}_1.$ 

Then the definable terms

 $n: \mathbf{N} \vdash f(n): \mathbf{N}$ 

Let T be a dependent type theory with

- dependent pair types  $\sum_{a:A} B(a)$  and function types  $\prod_{a:A} B(a)$ ,
- ▶ inductive identity types,
- ▶ a universe  $U_0$  closed under  $\Sigma$  and identity types (but not  $\Pi$ -types),
- ▶ a U<sub>0</sub>-small closed type N with the standard elimination principle for natural numbers

$$\frac{n: \mathbb{N} \vdash X(n): \mathbb{U}_0 \qquad \vdash g: X(0) \qquad n: \mathbb{N}, x: X(n) \vdash h(n, x): X(n+1)}{n: \mathbb{N} \vdash \operatorname{ind}_{g,h}(n): X(n)}$$

restricted to U<sub>0</sub>-small type families  $n : N \vdash X(n) : U_0$ ,

- larger universes  $U_{\alpha}$  closed under all type constructors,
- and the rule

 $\Pi_{n:\mathbb{N}}X(n):\mathbb{U}_1.$ 

Then the definable terms

 $n: \mathbf{N} \vdash f(n): \mathbf{N}$ 

Let T be a dependent type theory with

- dependent pair types  $\sum_{a:A} B(a)$  and function types  $\prod_{a:A} B(a)$ ,
- ▶ inductive identity types,
- ▶ a universe  $U_0$  closed under  $\Sigma$  and identity types (but not  $\Pi$ -types),
- ▶ a U<sub>0</sub>-small closed type N with the standard elimination principle for natural numbers

$$\frac{n: \mathbb{N} \vdash X(n): \mathbb{U}_0}{n: \mathbb{N} \vdash \operatorname{ind}_{g,h}(n): X(n)} \stackrel{h(n, x): X(n+1)}{\mapsto}$$

restricted to U<sub>0</sub>-small type families  $n : N \vdash X(n) : U_0$ ,

- larger universes  $U_{\alpha}$  closed under all type constructors,
- and the rule

 $\Pi_{n:\mathbb{N}}X(n):\mathbb{U}_1.$ 

Then the definable terms

 $n: \mathbf{N} \vdash f(n): \mathbf{N}$ 

Let T be a dependent type theory with

- dependent pair types  $\sum_{a:A} B(a)$  and function types  $\prod_{a:A} B(a)$ ,
- ▶ inductive identity types,
- ▶ a universe  $U_0$  closed under  $\Sigma$  and identity types (but not  $\Pi$ -types),
- ▶ a U<sub>0</sub>-small closed type N with the standard elimination principle for natural numbers

$$\frac{n: \mathsf{N} \vdash X(n): \mathsf{U}_0 \qquad \vdash g: X(0) \qquad n: \mathsf{N}, x: X(n) \vdash h(n, x): X(n+1)}{n: \mathsf{N} \vdash \operatorname{ind}_{g,h}(n): X(n)}$$

#### restricted to U<sub>0</sub>-small type families $n : N \vdash X(n) : U_0$ ,

larger universes  $U_{\alpha}$  closed under all type constructors,

and the rule

 $\Pi_{n:\mathbb{N}}X(n):\mathbb{U}_1.$ 

Then the definable terms

 $n: \mathbf{N} \vdash f(n): \mathbf{N}$ 

Let T be a dependent type theory with

- dependent pair types  $\sum_{a:A} B(a)$  and function types  $\prod_{a:A} B(a)$ ,
- ▶ inductive identity types,
- a universe  $U_0$  closed under  $\Sigma$  and identity types (but not  $\Pi$ -types),
- ▶ a U<sub>0</sub>-small closed type N with the standard elimination principle for natural numbers

$$\frac{n: \mathbf{N} \vdash X(n): \mathbf{U}_0 \qquad \vdash g: X(0) \qquad n: \mathbf{N}, x: X(n) \vdash h(n, x): X(n+1)}{n: \mathbf{N} \vdash \operatorname{ind}_{g,h}(n): X(n)}$$

restricted to U<sub>0</sub>-small type families  $n : N \vdash X(n) : U_0$ ,

• larger universes  $U_{\alpha}$  closed under all type constructors,

and the rule

 $\Pi_{n:\mathbb{N}}X(n):\mathbb{U}_1.$ 

Then the definable terms

 $n: \mathbf{N} \vdash f(n): \mathbf{N}$ 

Let T be a dependent type theory with

- dependent pair types  $\sum_{a:A} B(a)$  and function types  $\prod_{a:A} B(a)$ ,
- ▶ inductive identity types,
- a universe  $U_0$  closed under  $\Sigma$  and identity types (but not  $\Pi$ -types),
- ▶ a U<sub>0</sub>-small closed type N with the standard elimination principle for natural numbers

$$\frac{n: \mathbf{N} \vdash X(n): \mathbf{U}_0 \qquad \vdash g: X(0) \qquad n: \mathbf{N}, x: X(n) \vdash h(n, x): X(n+1)}{n: \mathbf{N} \vdash \operatorname{ind}_{g,h}(n): X(n)}$$

restricted to U<sub>0</sub>-small type families  $n : N \vdash X(n) : U_0$ ,

- larger universes  $U_{\alpha}$  closed under all type constructors,
- and the rule

 $\Pi_{n:\mathbb{N}}X(n):\mathbb{U}_1.$ 

Then the definable terms

 $n: \mathbf{N} \vdash f(n): \mathbf{N}$ 

Let T be a dependent type theory with

- dependent pair types  $\sum_{a:A} B(a)$  and function types  $\prod_{a:A} B(a)$ ,
- ▶ inductive identity types,
- a universe  $U_0$  closed under  $\Sigma$  and identity types (but not  $\Pi$ -types),
- ▶ a U<sub>0</sub>-small closed type N with the standard elimination principle for natural numbers

$$\frac{n: \mathbf{N} \vdash X(n): \mathbf{U}_0 \qquad \vdash g: X(0) \qquad n: \mathbf{N}, x: X(n) \vdash h(n, x): X(n+1)}{n: \mathbf{N} \vdash \operatorname{ind}_{g,h}(n): X(n)}$$

restricted to U<sub>0</sub>-small type families  $n : N \vdash X(n) : U_0$ ,

- larger universes  $U_{\alpha}$  closed under all type constructors,
- and the rule

 $\Pi_{n:\mathbb{N}}X(n):\mathbb{U}_1.$ 

Then the definable terms

$$n: \mathbf{N} \vdash f(n): \mathbf{N}$$

### MOTIVATION FOR CONSERVATIVE EXTENSION

- Variants of primitive recursion are used as base theory for reverse mathematics in which theorems are encoded in a weak base system
- More expressive base system means less encoding
- Syntax is closer to proof assistants enabling formal verification

### MOTIVATION FOR CONSERVATIVE EXTENSION

- Variants of primitive recursion are used as base theory for reverse mathematics in which theorems are encoded in a weak base system
- More expressive base system means less encoding
- Syntax is closer to proof assistants enabling formal verification

### MOTIVATION FOR CONSERVATIVE EXTENSION

- Variants of primitive recursion are used as base theory for reverse mathematics in which theorems are encoded in a weak base system
- More expressive base system means less encoding
- Syntax is closer to proof assistants enabling formal verification

### POTENTIAL FURTHER EXTENSIONS

- Finitary inductive types and type families, finitary induction-recursion, e.g. lists
- Primitive recursive universe of types judgemental variant of internal p.r. Gödel encoding of the codes in U<sub>0</sub>
- Primitive Recursive Homotopy/Cubical Type Theory not clear how to adapt our adequacy proof

#### POTENTIAL FURTHER EXTENSIONS

- Finitary inductive types and type families, finitary induction-recursion, e.g. lists
- Primitive recursive universe of types judgemental variant of internal p.r. Gödel encoding of the codes in U<sub>0</sub>
- Primitive Recursive Homotopy/Cubical Type Theory not clear how to adapt our adequacy proof

#### POTENTIAL FURTHER EXTENSIONS

- Finitary inductive types and type families, finitary induction-recursion, e.g. lists
- Primitive recursive universe of types judgemental variant of internal p.r. Gödel encoding of the codes in U<sub>0</sub>
- Primitive Recursive Homotopy/Cubical Type Theory not clear how to adapt our adequacy proof

## PROOF OF CONSERVATIVITY BY LOGICAL RELATIONS INGREDIENTS

#### Synthetic Tait Computability

Standard model

 $[\![-]\!]_{\operatorname{Set}}:T\to\operatorname{Set}$ 

with

 $[\![N]\!]_{\mathrm{Set}} = \mathbb{N}$ 

► Model

 $\llbracket - \rrbracket_{\mathcal{R}} : T \to \mathcal{R}$ 

in a topos of sheaves on a category of arities and p.r. functions with coverage generated by finite jointly surjective families, with the property that

 $\mathcal{R}([\![N]\!]_{\mathcal{R}},[\![N]\!]_{\mathcal{R}})$ 

are exactly the primitive recursive functions  $\mathbb{N} \to \mathbb{N}$ .

### PROOF OF CONSERVATIVITY BY LOGICAL RELATIONS INGREDIENTS

- Synthetic Tait Computability
- Standard model

 $[\![-]\!]_{\operatorname{Set}}:T\to\operatorname{Set}$ 

with

$$[\![N]\!]_{\rm Set} = \mathbb{N}$$

Model

$$\llbracket - \rrbracket_{\mathcal{R}} : T \to \mathcal{R}$$

in a topos of sheaves on a category of arities and p.r. functions with coverage generated by finite jointly surjective families, with the property that

 $\mathcal{R}([\![N]\!]_{\mathcal{R}},[\![N]\!]_{\mathcal{R}})$ 

are exactly the primitive recursive functions  $\mathbb{N} \to \mathbb{N}$ .

### PROOF OF CONSERVATIVITY BY LOGICAL RELATIONS INGREDIENTS

- Synthetic Tait Computability
- Standard model

 $[\![-]\!]_{\operatorname{Set}}:T\to\operatorname{Set}$ 

with

$$[\![N]\!]_{\rm Set} = \mathbb{N}$$

Model

 $[\![-]\!]_{\mathcal{R}}:T\to \mathcal{R}$ 

in a topos of sheaves on a category of arities and p.r. functions with coverage generated by finite jointly surjective families, with the property that

 $\mathcal{R}([\![N]\!]_{\mathcal{R}},[\![N]\!]_{\mathcal{R}})$ 

are exactly the primitive recursive functions  $\mathbb{N} \to \mathbb{N}$ .

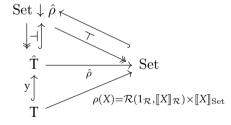
# PROOF OF CONSERVATIVITY BY LOGICAL RELATIONS COMBINING THE DATA

Using the global sections functor  $\mathcal{R}(1_{\mathcal{R}}, -)$  we can combine the data of both models into a single functor which we extend along the Yoneda embedding.



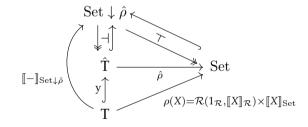
# PROOF OF CONSERVATIVITY BY LOGICAL RELATIONS FORM THE ARTIN GLUING

The comma category Set  $\downarrow \hat{\rho}$  is a topos with an open geometric embedding  $\hat{T} \hookrightarrow \text{Set} \downarrow \hat{\rho}$  and closed geometric embedding Set  $\hookrightarrow \text{Set} \downarrow \hat{\rho}$ .



### PROOF OF CONSERVATIVITY BY LOGICAL RELATIONS SYNTHETICALLY DEFINE A LOGICAL RELATION

Use the internal language of the topos Set  $\downarrow \hat{\rho}$  to construct another model  $[-]_{\text{Set}\downarrow\hat{\rho}}$  which assigns computability predicates to objects  $\rho(X)$ .

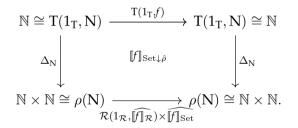


### PROOF OF CONSERVATIVITY BY LOGICAL RELATIONS EXTERNALISE

Any term

 $n : \mathbf{N} \vdash f(n) : \mathbf{N}$ 

of T is interpreted in Set  $\downarrow \hat{\rho}$  as



Since  $\widehat{[f]}_{\mathcal{R}}$  is primitive recursive, so is  $[[f]]_{\text{Set}}$ .